



R2v3

Lessons Learned and Common NCRs



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Agenda:

- Welcome from PJR Headquarters:
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- Introduction of speaker
- Housekeeping
- About PJR
- Benefits of certification
- Common NCRs
- Lessons learned
- Certification steps
- Questions





Housekeeping

- Recordings and copies of slides to be made available on PJR's website
- Questions can be typed and will be addressed at the end of the presentation
- SERI and the R2v3 Knowledge Base offer formal training and standard interpretation details



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About PJR

- **PJR is one of the leading Registrars in the world**
- A few countries where PJR has certified companies to various standards:
 - Australia
 - Brazil
 - European Union
 - Japan
 - India
 - Malaysia
 - Mexico
 - Singapore
 - Thailand
 - United States



https://cdn.pixabay.com/photo/2013/07/12/12/54/world-map-146505_960_720.png



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About PJR

PJR is accredited to grant certification for:

- ISO 9001
- ISO 14001
- AS 9100, 9110 & 9120
- ISO/TS 16949
- **Responsible Recycling (R2)**
- RIOS
- ISO 13485
- SQF
- TL 9000
- ISO 45001
- ISO 27001
- ISO 22000
- HAACP Compliance
- FSSC 22000
- e-Stewards®

PJR has achieved accreditation to R2v3!



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Benefits of certification

- Commitment to responsible reuse and recycling of electronics and components
- Improving the organization's EH&S performance
- Framework for meeting customer and/or regulatory requirements
- Management commitment and employee engagement
- Providing a competitive advantage and/or improved public image
- Potential financial benefit(s)
- Achieving strategic objectives and/or stakeholder requirements
- Integration with other business management systems



<http://3.bp.blogspot.com/-DmgUSy4c5-Y/UaHxJ5qeKnI/AAAAAADNE/XhSUJ6o4tPc/s1600/computer.jpg>



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Common NCRs

- Appendix A
 - Incomplete records of due diligence/downstream management to meet R2v3 requirements
 - Incorrectly identifying non-R2 DSVs as R2(v3) DSVs
 - Verification of DSVs meeting applicable Appendix requirements (as relevant)
 - Pollution liability insurance for organization's with "negative value" equipment
- Appendix B
 - Insufficient process(es)
 - Incomplete documentation of process requirements
 - Examples: using software for sanitization, recordings, destruction types, unique identifiers, Data Sanitization Plan gaps, training/competency, etc.
- Appendix C
 - Insufficient process(es) and/or incomplete documentation of process requirements
 - Examples: individualized records, accounting for all media types, Reuse Plan gaps, etc.





Common NCRs (ctd.)

- Core Requirement 1
 - All relevant names included
 - Appropriate scheme application
 - Appropriate scope verbiage and identification
- Core Requirement 3
 - Insufficient risk assessment, exposure evaluation, and/or monitoring evidence
- Core Requirement 5
 - Incomplete summaries of transactions
- Core Requirement 6
 - Insufficient process(es)
 - Incomplete documentation of process requirements
 - Examples: categorization appropriateness, adequacy/availability of test records, sorting for subsequent processing, incorporation of/adherence to the REC, sampling where required, etc.





Common NCRs (ctd.)

- Core Requirement 7
 - Insufficient process(es)
 - Incomplete documentation of process requirements
 - Examples: Plan(s) completeness, training, control/identification of all pre-sanitized media, acknowledgements, security, independent audits, etc.
- Core Requirement 9
 - Incomplete Closure Plan
 - Insufficient process for, or documentation of, a financial instrument
 - Insufficient insurance coverage evidence





Lessons learned

- Appendix applicability
- QMS certification (when required)
- Scope verbiage per the Code of Practices
- NCR closure deadlines and expectations
- Facility schemes
- Virtual audits





Certification steps

- Download the standard
- Establish EHSMS documentation to meet R2v3 requirements
- Conduct training to EHSMS requirements
- Implement EHSMS requirements
 - Conduct an internal audit
 - Conduct a compliance evaluation
 - Conduct a review of the system based on input(s) from the internal audit
- Contract with a Certification Body (CB) and submit any pre-qualification information required for the contract review/“Contract Validation”
- Complete Stage I and Stage II audits, and address any resulting nonconformities
 - Certification issuance





Certification steps (ctd.)

1. Contract Validation (off-site)
 - Typically 0.25-0.5 days

2. Stage I:
 - Document review of your EHSMS framework
 - Evaluates the readiness of your organization to move to Stage II
 - If NCs are identified, they must be resolved before the Stage II can proceed

3. Stage II:
 - Typically scheduled 30 to 60 days after the Stage I audit (must be completed within 6 months)
 - On-site audit of your entire EHSMS
 - If NCs are identified, they must be resolved before certificate issuance
 - Note: R2v3 Stage 2 time must be on-site.





Certification steps (ctd.)

- Surveillance audits
 - Scheduled at either six or twelve month intervals depending on the contract
 - Partial system audit
- Re-certification audit
 - On-site audit conducted prior to the third anniversary of the initial certification
 - Surveillance visits will then continue, as before, on a 3-year cycle





Please type any questions you may have.



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For additional technical information, please contact PJR using the below contact information:

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