



## Timeline for Stage I/II, Surveillance, and Recertification Audits (R2v3)

Audit type	Deadline	Required by deadline	Consequence for deviation
<b>Stage 1</b>	Prior to the Stage 2	Evidence of correction of NCR(s)	Stage 2 cannot proceed; repeat Stage 1
<b>Stage 2</b>	60 days	Evidence of correction for all minors and majors accepted by auditor	Repeat the Stage 2 (within 6 months of the Stage 1)
	60 days	Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90 days	Revisit to verify implementation and effectiveness of the corrective action(s)	
<b>Surveillance</b>	60 days	Evidence of correction for all minors and majors accepted by auditor	Suspension
	60 days	Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90 days, or up to 6 months* *for annual audits; for semi-annual audits, this can be addressed at the next (SA) audit	Revisit to verify implementation and effectiveness of the corrective action(s)	
<b>Recertification</b>	60 days	Evidence of correction for all minors and majors accepted by auditor	If the certificate expires, the client will need to start over with a new registration (Stage 1 and Stage 2 audits)
	60 days	Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90 days (or sooner based on certificate expiry)	Revisit to verify implementation and effectiveness of the corrective action(s)	